

AO 440 (Rev. 8/01) Summons in a Civil Action

UNITED STATES DISTRICT COURT

Eastern Division

District of

Massachusetts

STEVEN G. WICKS and  
GERALD A. KALBFLEISCH

v.

PUTNAM INVESTMENT  
MANAGEMENT, LLC and  
PUTNAM RETAIL  
MANAGEMENT, LLP

SUMMONS IN A CIVIL ACTION

CASE NUMBER:

04 10988 DFW

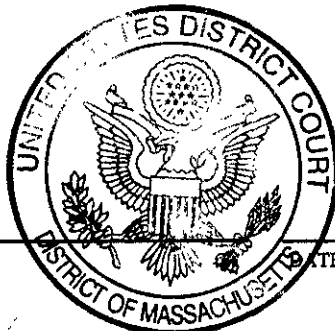
TO: (Name and address of Defendant)

William Woolverton, Registered Agent  
PUTNAM RETAIL MANAGEMENT, LLP  
One Post Office Square  
Boston, MA 02109

YOU ARE HEREBY SUMMONED and required to serve on PLAINTIFF'S ATTORNEY (name and address)

Jonathan D. Mutch, Esq.  
ROBINS, KAPLAN, MILLER & CIRESI L.L.P.  
111 Huntington Avenue - Suite 1300  
Boston, MA 02199-7610

an answer to the complaint which is served on you with this summons, within 20 days after service of this summons on you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. Any answer that you serve on the parties to this action must be filed with the Clerk of this Court within a reasonable period of time after service.



TONY ANASTAS  
CLERK

*[Signature]*

(By) DEPUTY CLERK

MAY 18 2004

## RETURN OF SERVICE

Service of the Summons and Complaint was made by me (1)

DATE

June 09, 2004

NAME OF  
SERVER

BURTON M. MALKOFSKY

TITLE

Process Server / A Disinterested Person

Check one box below to indicate appropriate method of service

☐ Served personally upon the defendant. Place where served:

, MASSACHUSETTS

☐ Left copies thereof at the defendant's dwelling or usual place of abode with a person of suitable age and discretion then residing therein. Name of person whom the summons and complaint were left:

Said service was made at:

, MASSACHUSETTS

☒ Other: By handing true and attested copies thereof to Mr. Joe Bacon, Compliance Manager and

Duly Authorized Agent for the within-named

Defendant, Putnam Retail Management, LLP.

Said service was made at:

2 Liberty Square, Boston

, MASSACHUSETTS

## STATEMENT OF SERVICE FEES

SERVICE FEE \$ 20.00 2 Trips

## DECLARATION OF SERVER

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on June 09, 2004

Date

Signature of Server

One Devonshire Place, Boston, Massachusetts

Address of Server

## ADDITIONAL INFORMATION

PLEASE NOTE THAT IT WAS NECESSARY TO MAKE 2 ATTEMPTS BEFORE MAKING PROPER SERVICE.

Date	Time	Remarks	FEE
6/9/04		No service at One Post Office Sq., Boston, Ma. - Security Guard informed Process	\$ <u>0.00</u>
Server service must be made at 2 Liberty Sq., Boston, Ma.....			\$ <u>20.00</u>
6/9/04		Service was made at 2 Liberty Sq., Boston, Ma.....	\$ <u>20.00</u>
TOTAL			\$ <u>20.00</u>

(1) As to who may serve a summons see Rule 4 to the Federal Rules of Civil Procedure.